



Attorney Docket No.: CDSP-0104/27

RECEIVED  
#816-01  
JUL 27 2001  
TECH-2

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the U.S. Patent and Trademark Office, Washington, D.C., 20231, on the below date of deposit:			
Date of Deposit:	07/23/01	Name of Person Making the Deposit:	KATHERINE RINALDI
		Signature of the Person Making the Deposit:	<i>Katherine Rinaldi</i>

In re Application of: Bob L. Mackey, Duane A. HavenArthur J. Learn, John D. Porter, Theodore S. Fahlen, Shiyu Pei and William J. Cummings

Serial No: 09/627,355

Examiner:

Filed: 07/28/00

Art Unit: 2879

For: PROTECTED SUBSTRATE STRUCTURE FOR A FIELD EMISSION DISPLAY DEVICE

Assistant Commissioner for Patents  
Washington, D.C. 20231

### CORRECTION OF INVENTORSHIP

AMENDMENT, PETITION AND FEE TO DELETE AND/OR ADD TO ORIGINAL ERRONEOUSLY NAMED OR NOT NAMED INVENTOR(S)-NONPROVISIONAL APPLICATION-DECLARATION  
(37 C.F.R. 1.48(a))

NOTE: "All the inventive entity is set forth in error in an executed 1.63 oath or declaration in an application, other than a reissue application, and such error arose without any deceptive intention of the part of the person named as an inventor in error or on the part of the person who through error was not named as an inventor, the application may be amended to name only the actual inventor or inventors." 34 C.F.R.

NOTE: 37 C.F.R. 1.48(f) states: "If the correct inventor or inventors are not named on filing a nonprovisional application under 1.53(b) without an executed oath or declaration under 1.63, the later submission of an executed oath or declaration under 1.63 during the pendency of the application will act to correct the earlier identification of inventorship.

"(2) If the correct inventor or inventors are not named on filing a provisional application without a cover sheet under 1.51 (c)(1), the later submission of a cover sheet under 1.151 (c)(1) during the pendency of the application will act to correct the earlier identification of inventorship."

1. This amendment and petition is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. 1.48(a) as set forth and filed on 08/28/00 (date).

2. Addition and/or Deletion of Inventor(s)

(check and complete all applicable items)

☒ Add the following previously unnamed person(s) as inventor(s) of this application:

Shiyu Pei and William J. Cummings

☐ Delete the following previously incorrectly named inventor(s)

3. Attachments

Attached is

(a) A statement from: (check items below that apply)

- ☒ each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. 1.48(a)(1).
- ☐ each person being deleted as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. 1.48(a)(1).
- (b) a declaration by each of the actual inventor(s) as required by 37 C.F.R. 1.63 (or as permitted by 1.42, 1.43, or 1.47). 37 C.F.R. 1.48(a)(2).
- (c) written assent of the assignee (if any of the original inventors executed an assignment) 37 C.F.R. 1.48 (a)(4).
- (d) (check the following item, if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed.)
- ☐ Attached is an explanation of the facts, including the ownership of all the claim(s) being claimed in this application, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Fee Payment (37 C.F.R. 1.17 (I))-\$130.00)

The fee required is paid as follows:

☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085.  
A duplicate copy of this authorization is enclosed.

☒ A check in the amount of \$130.00

☐ Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

Please direct all correspondence concerning the above-identified application to the following address:

**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: 7/23/01

By: 

Matthew J. Blecher  
Reg. No. 46,558



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Mackey, et al.

Serial No. : 09/627,355

Group Art Unit: 2879

Filed : August 28, 2000

Examiner:

For : PROTECTED SUBSTRATE STRUCTURE FOR A FIELD  
EMISSION DISPLAY DEVICE

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
AUG -2 2001  
TECHNOLOGY CENTER 2800

STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY  
AMENDMENT AND PETITION TO CORRECT INVENTORSHIP IN A PATENT  
APPLICATION (37 C.F.R. SECTION 1.48(a))

I, William J. Cummings, who is the person being added as an inventor by the amendment and petition being submitted to correct the inventorship of the patent application identified above, do hereby declare that the inventorship error in failing to include my name as an inventor on the patent application identified above occurred without any deceptive intent on my part.

Dated: 5/23/2001

William J. Cummings

07/31/2001 AZERGAH1 00000098 09627355

01 FC022

130.00 OP



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Mackey, et al.

Serial No. : 09/627,355

Group Art Unit: 2879

Filed : August 28, 2000

Examiner:

For : PROTECTED SUBSTRATE STRUCTURE FOR A FIELD  
EMISSION DISPLAY DEVICE

RECEIVED  
AUG - 2 2001  
TECHNOLOGY CENTER 2800

Assistant Commissioner for Patents  
Washington, D.C. 20231

ASSENT OF ASSIGNEE TO CORRECTION OF INVENTORSHIP IN A PATENT  
APPLICATION (37 C.F.R. SECTION 1.48(a))

1. Name of ASSIGNEE: CANDESCENT TECHNOLOGIES  
CORP.

Address of ASSIGNEE: 6580 Via del Oro  
San Jose, CA 95119

2. Assignee, CANDESCENT TECHNOLOGIES CORP., certifies that  
it is the assignee of the entire right, title, and interest in the patent application  
identified above by virtue of an assignment from the inventors for which a copy  
thereof is attached. A Statement Under 37 C.F.R. Section 3.73(b) is attached.

3. Assignee hereby assents to the correction of inventorship filed  
herewith. The undersigned is authorized to act on behalf of the Assignee.

Dated: 7/23/01

Matthew J. Blecher  
Registration No. 46,558  
Attorney for Assignee



STATEMENT UNDER 37 CFR 3.73(b)

RECEIVED  
AUG - 2 2001  
TECHNOLOGY CENTER 28000

Inventor: Mackey, et al

Application No.: 09/627,355 Filed: August 28, 2000

For: PROTECTED SUBSTRATE STRUCTURE FOR A FIELD EMISSION DISPLAY DEVICE

Candescent Technologies., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark office at Reel 011412, Frame 0191, or for which a copy thereof is attached.

B. ☐ A chain of title from the inventor(s) of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned has reviewed all the documents in the chain of title of the patent application identified above and, to the best of undersigned's knowledge and belief, title is in the assignee identified above.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name: Matthew J. Blecher Title: Attorney

Signature: *Matthew J. Blecher* Date: 7/23/01